# The Norm Estimates of Pre-Schwarzian Derivatives of Spiral-like Functions

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#### Abstract

For a constant  $\beta \in (-\pi/2, \pi/2)$ , a normalized analytic function  $f(z) = z + a_2 z^2 + a_3 z^3 + \cdots$  on the unit disk is said to be  $\beta$ -spiral-like if  $\Re(e^{-i\beta}zf'(z)/f(z)) > 0$  for any point z in the unit disk. In this paper, for such a function f, we shall present the optimal estimate of the norm of f''/f'.

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# 1 Introduction

Let A denote the set of analytic functions f on the unit disk  $\mathbb{D}$  normalized so that f(0) = f'(0)-1 = 0. For a constant  $\beta \in (-\pi/2, \pi/2)$ , a function  $f \in A$  is called  $\beta$ -spiral-like if f is univalent on  $\mathbb{D}$  and for any  $z \in \mathbb{D}$ , the  $\beta$ -logarithmic spiral  $\{f(z) \exp(-e^{i\beta}t); t \ge 0\}$  is contained in  $f(\mathbb{D})$ . It is equivalent to the condition that  $\Re(e^{-i\beta}zf'(z)/f(z)) > 0$  in  $\mathbb{D}$ . We denote by  $SP(\beta)$  the set of  $\beta$ -spiral-like functions. We call  $f_{\beta}(z) := z(1-z)^{-2e^{i\beta}\cos\beta} \in SP(\beta)$  the  $\beta$ -spiral Koebe function. Note that SP(0) is the set of starlike functions and that  $f_0(z) = z(1-z)^{-2}$  is the Koebe function. The  $\beta$ -spiral Koebe function conformally maps the unit disk onto the complement of the  $\beta$ -logarithmic spiral  $\{f_{\beta}(-e^{-2i\beta})\exp(-e^{i\beta}t); t \le 0\}$  in  $\mathbb{C}$ . For the known results about these classes of the functions, see, for example, [1].

For a locally univalent holomorphic function f, we define

$$T_f = \frac{f''}{f'}$$
 and  $S_f = (T_f)' - \frac{1}{2}(T_f)^2$ ,

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which are said to be the *pre-Schwarzian derivative* (or nonlinearity) and the *Schwarzian derivative* of f, respectively. For a locally univalent function f in  $\mathbb{D}$ , we define the norms of  $T_f$  and  $S_f$  by

$$||T_f||_1 = \sup_{z \in \mathbb{D}} (1 - |z|^2) |T_f(z)|$$
 and  $||S_f||_2 = \sup_{z \in \mathbb{D}} (1 - |z|^2)^2 |S_f(z)|,$ 

respectively.

As well as  $||S_f||_2$ , the norm  $||T_f||_1$  has a significant meaning in the theory of Teichmüller spaces. For example, see [9], [3] and [14]. On the other hand, there is a deep relation between the boundary of the universal Teichmüller space and such selfsimilar quasiarcs as logarithmic spirals ([2], [4], and [7]).

In the present paper, we shall give the best possible estimate of the norms of pre-Schwarzian derivatives for the class  $SP(\beta)$ .

**Main Theorem 1.** For any  $f \in SP(\beta)$ , where  $\beta \in (-\pi/2, \pi/2)$ , we have the following.

I) In the case  $|\beta| \leq \pi/3$ , we have

$$||T_f||_1 \le ||T_{f_\beta}||_1 = 2|2 + e^{2i\beta}|.$$
(1)

II) In the case  $|\beta| > \pi/3$ , we have  $||T_f||_1 \le ||T_{f_\beta}||_1$ , where

$$||T_{f_{\beta}}||_{1} = \max_{0 \le m \le \frac{4}{3} \sin|\beta|} 2m \cos\beta \left(1 + \sqrt{\frac{m^{2} + 4 - 4m \sin|\beta|}{m^{2} + 1 - 2m \sin|\beta|}}\right) \text{ and } (2)$$

$$2|2 + e^{2i\beta}| < ||T_{f_{\beta}}||_{1} < 2\left(1 + \frac{4}{3}\sin 2|\beta|\right).$$
(3)

In particular,  $||T_{f_{\beta}}||_1 \rightarrow 2 \text{ as } |\beta| \rightarrow \pi/2.$ 

In both cases, the equality  $||T_f||_1 = ||T_{f_\beta}||_1$  holds if and only if f is a rotation of the  $\beta$ -spiral Koebe function, i.e.,  $f(z) = (1/\varepsilon)f_\beta(\varepsilon z)$  for some  $|\varepsilon| = 1$ .

From the proof, if  $|\beta| \leq \pi/3$ , the function  $(1-|z|^2)|T_{f_\beta}(z)|$  does not attain its supremum in  $\mathbb{D}$ . However if  $|\beta| > \pi/3$ , it does since

$$\max_{\partial \mathbb{D} \ni z_0} \sup_{\mathbb{D} \ni z \to z_0} (1 - |z|^2) |T_{f_\beta}(z)| = 2|2 + e^{2i\beta}| < ||T_{f_\beta}||_1.$$

This phenomenon of *phase transition* seems to be quite interesting.

*Remark.* Clearly, the  $\beta$ -spiral Koebe function  $f_{\beta}$  converges to  $id_{\mathbb{D}}$  (which is bounded) locally uniformly on  $\mathbb{D}$  as  $|\beta| \to \pi/2$  but does not converge to it with respect to the norm  $\|\cdot\|_1$  since  $\lim_{|\beta|\to\pi/2} \|T_{f_{\beta}}\|_1 = 2$ . On the other hand, it is known that  $f \in A$  is bounded if  $\|T_f\|_1 < 2$ . Thus the value 2 is the least one of the norms of unbounded  $f \in A$ . We would also like to mention the related works about norm estimates of pre-Schwarzian derivatives in other classes of A by Shinji Yamashita [12] and Toshiyuki Sugawa [10].

**Theorem 1.1.** Let  $0 \le \alpha < 1$  and  $f \in A$ .

If f is starlike of order  $\alpha$ , i.e.,  $\Re(zf'(z)/f(z)) > \alpha$ , then  $\|T_f\|_1 \leq 6-4\alpha$ . If f is convex of order  $\alpha$ , i.e.,  $\Re(1+zf''(z)/f'(z)) > \alpha$ , then  $\|T_f\|_1 \leq 4(1-\alpha)$ .

If f is strongly starlike of order  $\alpha$ , i.e.,  $\arg(zf'(z)/f(z)) < \pi\alpha/2$ , then  $\|T_f\|_1 \leq M(\alpha) + 2\alpha$ , where  $M(\alpha)$  is a specified constant depending only on  $\alpha$  satisfying  $2\alpha < M(\alpha) < 2\alpha(1+\alpha)$ .

All of the bounds are sharp.

On the other hand, we also obtain the estimate of the norms of Schwarzian derivatives of  $\beta$ -spiral-like functions.

Main Theorem 2. Assume  $|\beta| < \pi/2$ . For any  $f \in SP(\beta)$ ,  $||S_f||_2 \le ||S_{f_\beta}||_2 = 6$ .

In Theorem 2, the extremality of  $f_{\beta}$  is trivial since the Kraus–Nehari theorem says that  $||S_f||_2 \leq 6$  for any univalent  $f \in A$ .

The proof of Theorems 1 and 2 will be given in Section 2 and 3. Knowing the norm  $||T_f||_1$  of  $f \in A$  enables us to estimate the growth of coefficients of f. For example, the following holds.

**Theorem 1.2 (cf. [8]).** Let  $(3/2) < \lambda \leq 3$ . For  $f(z) = z + a_2 z^2 + a_3 z^3 + \cdots \in A$  such that  $||T_f||_1 \leq 2\lambda$ , it holds that  $a_n = O(n^{\lambda-2})$  as  $n \to +\infty$ . This order estimate is best possible.

We shall also remark on the sharp order estimate of coefficients of  $f \in SP(\beta)$  in Section 4.

### 2 Proof of Theorem 1

Let  $f \in A$  be a  $\beta$ -spiral-like function. We set  $p(z) = P_f(z) = zf'(z)/f(z)$ . Then, by assumption, p is a holomorphic function on  $\mathbb{D}$  satisfying p(0) = 1and  $p(\mathbb{D}) \subset \{w \in \mathbb{C}; -\frac{\pi}{2} + \beta < \arg w < \frac{\pi}{2} + \beta\} =: \mathbb{H}_{\beta}$ . The univalent map  $q(z) = (1 + ze^{2i\beta})/(1 - z)$  on  $\mathbb{D}$  satisfies q(0) = 1 and  $q(\mathbb{D}) = \mathbb{H}_{\beta}$ . Then p is subordinate to q, i.e., there exists a holomorphic function  $\omega = \omega_f : \mathbb{D} \to \mathbb{D}$ with  $\omega(0) = 0$  such that

$$p = q \circ \omega = \frac{1 + \omega e^{2i\beta}}{1 - \omega}.$$
(4)

We note that, for  $|\varepsilon| = 1$ ,  $f(z) = (1/\varepsilon) f_{\beta}(\varepsilon z)$  if and only if  $\omega(z) = \varepsilon z$ . By the logarithmic differentiation of (4), we have

$$T_f(z) = \frac{f''(z)}{f'(z)} = c \frac{\frac{\omega}{z} (1 + \omega e^{2i\beta}) + \omega'}{(1 - \omega)(1 + \omega e^{2i\beta})}, \text{ thus}$$
$$(1 - |z|^2)T_f(z) = c \frac{(1 - |z|^2)\{\frac{\omega}{z}(2 + \omega e^{2i\beta}) + (\omega' - \frac{\omega}{z})\}}{(1 - \omega)(1 + \omega e^{2i\beta})}.$$

Here we set  $c := e^{2i\beta} + 1$ . Setting  $\omega = id_{\mathbb{D}}$ , we also have

$$T_{f_{\beta}}(z) = c \frac{2 + ze^{2i\beta}}{(1-z)(1+ze^{2i\beta})}$$
 and (5)

$$(1 - |z|^2)T_{f_{\beta}}(z) = c \frac{(1 - |z|^2)(2 + ze^{2i\beta})}{(1 - z)(1 + ze^{2i\beta})}.$$
(6)

We can easily see that  $\max_{\partial \mathbb{D} \ni z_0} \limsup_{\mathbb{D} \ni z \to z_0} (1 - |z|^2) |T_{f_\beta}(z)| = 2|2 + e^{2i\beta}|$ . By the Schwarz-Pick lemma for  $\omega/z$ , we obtain  $(1 - |z|^2)|z\omega' - \omega| \leq 2|z|^2$  $|z|^2 - |\omega|^2$ . So we can estimate as

$$\begin{aligned} (1-|z|^2)|T_f(z)| &\leq \frac{|\omega|(1-|z|^2)}{|z|(1-|\omega|^2)} \cdot |c| \frac{(1-|\omega|^2)|2+\omega e^{2i\beta}|}{|1-\omega||1+\omega e^{2i\beta}|} \\ &+ \frac{|z|^2 - |\omega|^2}{|z|(1-|\omega|^2)} \cdot |c| \frac{1-|\omega|^2}{|1-\omega||1+\omega e^{2i\beta}|} \\ &= \frac{|2+\omega e^{2i\beta}||\omega|(1-|z|^2) + (|z|^2 - |\omega|^2)}{|2+\omega e^{2i\beta}||z|(1-|\omega|^2)} (1-|\omega|^2)|T_{f_\beta}(\omega)|. \end{aligned}$$

To show  $||T_f||_1 < ||T_{f_\beta}||_1$  for  $SP(\beta) \ni f$  with  $|\omega'(0)| < 1$ , we show the following.

**Lemma 2.1.** Let  $\omega : \mathbb{D} \to \mathbb{D}$  be a holomorphic function with  $\omega(0) = 0$  and  $|\omega'(0)| < 1$ . For any set  $U \subset \mathbb{D}$  with  $\overline{\omega(U)} \not\supseteq -e^{-2i\beta}$ , there exists a positive constant C < 1 such that

$$D(z) := \frac{|2 + \omega e^{2i\beta}||\omega|(1 - |z|^2) + (|z|^2 - |\omega|^2)}{|2 + \omega e^{2i\beta}||z|(1 - |\omega|^2)} \le C \quad (z \in U).$$

From the above lemma, we can conclude the following immediately.

**Corollary 2.1.** Let  $f \in SP(\beta)$  not be a rotation of  $f_{\beta}$ . For any set  $U \subset \mathbb{D}$ with  $\overline{\omega_f(U)} \not\supseteq -e^{-2i\beta}$ ,

$$\sup_{z \in U} (1 - |z|^2) |T_f(z)| < ||T_{f_\beta}||_1$$

In particular, we can show the essentially unique extremality of  $f_\beta$  on some condition.

**Corollary 2.2.** Let  $f \in SP(\beta)$  not be a rotation of  $f_{\beta}$ . If  $\overline{\omega(\mathbb{D})} \not\supseteq -e^{-2i\beta}$ , then  $\|T_f\|_1 < \|T_{f_{\beta}}\|_1$ .

*Proof.* We can take  $\mathbb{D}$  itself as such U in Corollary 2.1.

*Proof of Lemma* 2.1. We take such U as above.

Put

$$c_1 := \inf_{z \in U} (|2 + \omega(z)e^{2i\beta}| - 1) > 0.$$

For  $z \in U$ ,

$$\begin{split} 1-D(z) &= \frac{(|z|-|\omega|)\{(|2+\omega e^{2i\beta}|-1)(1+|z||\omega|)+(1-|z|)(1-|\omega|)\}}{|2+\omega e^{2i\beta}||z|(1-|\omega|^2)} \\ &\geq \frac{(|z|-|\omega|)\{c_1(1+|z||\omega|)+(1-|z|)(1-|\omega|)\}}{6|z|(1-|\omega|)} \\ &= \frac{1}{6}\{c_1\frac{1+|z||\omega|}{|z|}(1-\frac{1-|z|}{1-|\omega|})+(1-\left|\frac{\omega}{z}\right|)(1-|z|)\}. \end{split}$$

In Yamashita [11] (p. 313, (6.8<sup>\*\*</sup>a)), it is shown that for a holomorphic map  $\omega : \mathbb{D} \to \mathbb{D}$  with  $\omega(0) = 0$  which is not a rotation at the origin,

$$|\omega(z)| \le |z|Q(|z|) < |z| \quad (z \in \mathbb{D}),\tag{7}$$

where

$$\begin{split} Q(x) &= \frac{x^2 + Bx + A}{Ax^2 + Bx + 1} \quad (0 \leq x \leq 1), \\ A &= |\omega'(0)| < 1 \quad \text{and} \\ B &= \frac{|\omega''(0)|}{2(1 - |\omega'(0)|)} \leq 1 + A < 2. \end{split}$$

From this, it follows that

$$1 - D(z) \ge \frac{1}{6} \{ c_1 (1 - \frac{1 - |z|}{1 - |z|Q(|z|)}) + (1 - Q(|z|))(1 - |z|) \}$$
(8)

on U. It is easy to see that Q(x) is strictly increasing and (1-x)/(1-xQ(x)) is strictly decreasing in [0, 1]. Thus for  $z \in U$ ,

$$1 - D(z) \ge \begin{cases} \frac{1}{6}(1 - Q(|z|))(1 - |z|) \ge \frac{1}{12}\left(1 - Q(\frac{1}{2})\right) & \text{if } |z| < \frac{1}{2}, \\ \frac{1}{6}c_1\left(1 - \frac{\frac{1}{2}}{1 - \frac{1}{2}Q(\frac{1}{2})}\right) \ge \frac{1}{12}c_1\left(1 - Q(\frac{1}{2})\right) & \text{if } \frac{1}{2} \le |z| < 1 \\ \ge \frac{1}{12}\min(1, c_1)\left(1 - Q(\frac{1}{2})\right) > 0. \end{cases}$$

Now the proof is completed.

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We consider the case  $\overline{\omega(\mathbb{D})} \ni -e^{-2i\beta}$ . Then  $\inf_{z\in\mathbb{D}}(|2+\omega(z)e^{2i\beta}|-1)=0$ , so the inequality similar to (8):

$$1 - D(z) \ge \frac{1}{6}(1 - Q(|z|))(1 - |z|) > 0$$

holds for  $z \in \mathbb{D}$ . We obtain the following.

**Lemma 2.2.** Let  $f \in SP(\beta)$  not be a rotation of  $f_{\beta}$ . For  $z \in \mathbb{D}$ ,

$$(1 - |z|^2)|T_f(z)| < (1 - |\omega|^2)|T_{f_\beta}(\omega)| \le ||T_{f_\beta}||_1.$$
(9)

In particular,  $||T_f||_1 \leq ||T_{f_\beta}||_1$ . Moreover, if

$$\max_{z_0 \in \partial \mathbb{D}} \limsup_{\mathbb{D} \ni z \to z_0} (1 - |z|^2) |T_{f_\beta}(z)| = 2|2 + e^{2i\beta}| < ||T_{f_\beta}||_1,$$

this inequality is strict.

From now on, we turn our attention to the norm of  $T_{f_{\beta}}$ . If  $f \in SP(-\beta)$ , then  $g(z) := \overline{f(\overline{z})} \in SP(\beta)$  and  $||T_f||_1 = ||T_g||_1$ , so we can assume  $\beta \ge 0$  without any loss of generality.

We consider the conformal automorphism  $z \mapsto w = h(z)$  of  $\mathbb{D}$  with h(1) = 1,  $h(-e^{-2i\beta}) = -1$  and  $h(ie^{-i\beta}) = i$ . This is given by the relation

$$\frac{w-1}{w+1} = e^{-i\beta} \frac{z-1}{z+e^{-2i\beta}}.$$
(10)

By the Schwarz-Pick lemma, we have

$$1 - |z|^2 = (1 - |w|^2) \left| \frac{dz}{dw} \right|.$$

Differentiating (10), we have

$$\left|\frac{dz}{dw}\right| = \frac{2|z + e^{-2i\beta}|^2}{|c||w + 1|^2}.$$

From them, it follows that

$$1 - |z|^{2} = (1 - |w|^{2}) \frac{2|z + e^{-2i\beta}|^{2}}{|c||w + 1|^{2}}.$$

From (10), we also have

$$|1-z| = |z+e^{-2i\beta}| \left| \frac{w-1}{w+1} \right|.$$

Thus

$$(1-|z|^2)|T_{f_\beta}(z)| = |c|\frac{(1-|z|^2)|z+2e^{-2i\beta}|}{|1-z||1+ze^{2i\beta}|} = 2\frac{1-|w|^2}{|w^2-1|} \cdot |z+2e^{-2i\beta}|.$$

Since  $(1 - |w|^2)/|w^2 - 1| \leq 1$ , we have  $(1 - |z|^2)|T_{f_\beta}(z)| < 2|2 + e^{2i\beta}|$  on  $\{z \in \mathbb{D}; |z + 2e^{-2i\beta}| < |1 + 2e^{-2i\beta}|\}$ . In the case  $\beta = 0$ , it coincides the whole  $\mathbb{D}$ . Therefore it is sufficient to consider the only case  $\beta > 0$ . For the estimate of  $(1 - |z|^2)|T_{f_\beta}(z)|$  on  $\{z \in \mathbb{D}; |z + 2e^{-2i\beta}| \geq |1 + 2e^{-2i\beta}|\}$ , we use some geometric argument.

Noting that  $|w^2 - 1|^2 = (1 - |w|^2)^2 + 4(\Im w)^2$ , we can see that the circular arc  $C_1$  passing through the three points  $\pm 1$  and ki (|k| < 1) in the *w*-plane is the following:

$$\frac{1-|w|^2}{|w^2-1|} = \frac{1-k^2}{1+k^2}.$$

So  $C_1$  is the level curve of  $(1 - |w|^2)/|w^2 - 1|$ . Put  $C_2 = h^{-1}(C_1)$ . Since  $C_2$ 



Figure 1: the level curve  $C_1$  and  $C_2$ 

is the circle passing through the three points

1, 
$$-e^{-2i\beta}$$
 and  $h^{-1}(ki) = ie^{-i\beta} \cdot \frac{(1-ki)e^{-i\beta} - (1+ki)}{(k-i)e^{-i\beta} - (k+i)}$ ,

we can calculate the center s and the radius r of  $C_2$ :

$$s = \frac{ie^{-i\beta}(k^2 - 1)}{2k\cos\beta + (k^2 - 1)\sin\beta}$$
 and (11)

$$r = \frac{(k^2 + 1)\cos\beta}{|2k\cos\beta + (k^2 - 1)\sin\beta|}.$$
 (12)

Putting  $m := \frac{k^2 - 1}{2k \cos \beta + (k^2 - 1) \sin \beta}$ , we have  $s = m \cdot ie^{-i\beta}$ . On the level curve  $C_2$ ,  $|z + 2e^{-2i\beta}|$  takes the maximum at the point z(m) in Figure 1, which is the intersection of the circular arc  $C_2$  and the straight line passing through  $-2e^{-2i\beta}$  and  $s = m \cdot ie^{-i\beta}$ . Therefore

$$(1-|z|^2)|T_{f_\beta}(z)| \le 2\frac{1-k^2}{1+k^2}(|s+2e^{-2i\beta}|+r)$$

on  $C_2$ .





Figure 2: the level curve  $C_2$  in the case  $m = m_0$ .

Since we are considering the case  $|z+2e^{-2i\beta}| \ge |1+2e^{-2i\beta}|$ , we can assume  $0 \le m \le m_0 := \frac{4}{3}\sin\beta$ . We note that if  $m = m_0$ , then  $C_2$  is tangential to the circular arc  $\{z \in \mathbb{D}; |z+2e^{-2i\beta}| = |1+2e^{-2i\beta}|\}$  and the tangent point between them is  $z(m_0) = 1$ , and that if m moves from 0 to  $m_0$ , the level curve  $C_2$  sweeps out  $\{z \in \mathbb{D}; |z+2e^{-2i\beta}| \ge |1+2e^{-2i\beta}|\}$  (see Figure 2).

Noting that  $2k\cos\beta + (k^2 - 1)\sin\beta < 0$  since  $m \ge 0$  and  $k^2 \le 1$ , we also

have  $r = \frac{1+k^2}{1-k^2}m\cos\beta$ . It follows that

$$|s + 2e^{-2i\beta}| = \sqrt{m^2 + 4 - 4m\sin\beta},$$
  
$$\frac{1 - k^2}{1 + k^2} = \frac{m\cos\beta}{\sqrt{m^2 + 1 - 2m\sin\beta}} \text{ and for } z \in C_2,$$
  
$$(1 - |z|^2)|T_{f_\beta}(z)| \le E(m) := 2m\cos\beta\left(1 + \sqrt{\frac{m^2 + 4 - 4m\sin\beta}{m^2 + 1 - 2m\sin\beta}}\right)$$

We also note that  $z(m_0) = 1 \in \partial \mathbb{D}$  and that  $z(m) \in \{z \in \mathbb{D}; |z + 2e^{-2i\beta}| \ge |1 + 2e^{-2i\beta}|\}$  for  $0 \le m < m_0$ .

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Here we consider the case  $0 < \beta \leq \pi/3$ . Noting that  $2|2 + e^{2i\beta}| = 2\sqrt{5 + 4\cos 2\beta}$  and  $E(m_0) = 4\sin 2\beta$ , we can see that  $E(m_0) \leq 2|2 + e^{2i\beta}|$  and the equality holds if and only if  $\beta = \pi/3$ .

The following holds.

**Lemma 2.3.** If  $0 < \beta \leq \pi/3$ , then  $E(m) \leq E(m_0)$  for any  $0 \leq m \leq m_0$ , and the equality holds if and only if  $m = m_0$ .

*Proof.* It is easy to see that  $E(0) < E(m_0)$  and that for  $0 < m \leq m_0$ ,  $E(m) \leq E(m_0)$  if and only if

$$(m - m_0)\{2m^2 \sin\beta + (1 - 8\sin^2\beta)m + 4\sin\beta\} \le 0.$$

Putting

$$g(m) := 2m^2 \sin\beta + (1 - 8\sin^2\beta)m + 4\sin\beta,$$
(13)

we calculate as

$$g(m) = 2\sin\beta \left(m + \frac{1 - 8\sin^2\beta}{4\sin\beta}\right)^2 - \frac{64\sin^4\beta - 48\sin^2\beta + 1}{8\sin\beta} \text{ and}$$
$$m_0 - \left(-\frac{1 - 8\sin^2\beta}{4\sin\beta}\right) = \frac{3 - 8\sin^2\beta}{12\sin\beta}.$$

The following holds:

- (i) If  $0 < \sin^2 \beta \le \frac{1}{8}$ , then g(m) > 0 for any  $0 < m \le m_0$  from (13).
- (ii) If  $\frac{1}{8} < \sin^2 \beta \le \frac{3}{8}$ , the same thing as the above holds since  $-\frac{64 \sin^4 \beta 48 \sin^2 \beta + 1}{8 \sin \beta} > 0$ .

(iii) If  $\frac{3}{8} < \sin^2 \beta \le \frac{3}{4}$ , then  $g(m) \ge 0$  for any  $0 < m \le m_0$  since g(m) is decreasing in  $(0, m_0]$  and

$$g(m_0) = g(\frac{4}{3}\sin\beta) = -\frac{64}{9}\sin\beta(\sin^2\beta - \frac{3}{4}) \ge 0.$$

Thus  $E(m) \leq E(m_0)$  for any  $0 \leq m \leq m_0$  and the equality holds if and only if  $m = m_0$ .

Consequently it follows that for  $|\beta| \leq \pi/3$ ,  $(1 - |z|^2)|T_{f_\beta}(z)| < 2|2 + e^{2i\beta}|$ on  $\mathbb{D}$ . Noting that  $(1 - |z|^2)|T_{f_\beta}(z)|$  tends to  $2|2 + e^{2i\beta}|$  as z tends to 1 - 0along the real axis, we can conclude that  $||T_{f_\beta}||_1 = 2|2 + e^{2i\beta}|$  and that the function  $(1 - |z|^2)|T_{f_\beta}(z)|$  does not attain its supremum in  $\mathbb{D}$ .

Next we consider the case  $\beta > \pi/3$ . In this case, we can see  $||T_{f_{\beta}}||_1$  is strictly larger than  $2|2 + e^{2i\beta}|$ . In fact, we have  $0 \le 1/\sin\beta < m_0$  and  $E(1/\sin\beta) > 2|2 + e^{2i\beta}|$ . Therefore from Lemma 2.2, we can also conclude that a rotation of  $f_{\beta}$  is a unique extremal function.

Moreover, for  $0 \le m \le \frac{4}{3} \sin \beta$ , we have a uniform estimate:

$$E(m) = 2m \cos \beta \left( 1 + \left| 1 - \frac{ie^{-i\beta}}{m - ie^{-i\beta}} \right| \right)$$
  
$$< 2m \cos \beta \left( 2 + \frac{1}{|m - ie^{-i\beta}|} \right)$$
  
$$\leq \frac{8}{3} \sin 2\beta + 2 \cos \beta \frac{m}{|m - ie^{-i\beta}|}$$
  
$$\leq 2 \left( 1 + \frac{4}{3} \sin 2\beta \right).$$

Thus  $||T_{f_{\beta}}||_1 \to 2$  as  $\beta \to \pi/2$ .

Finally, we will show that for  $|\beta| \leq \pi/3$ ,  $f_{\beta}$  is also the essentially unique extremal function in  $SP(\beta)$ .

Let  $f \in SP(\beta)$  not be a rotation of  $f_{\beta}$ . Noting Corollary 2.2, we consider the only case that  $\overline{\omega(\mathbb{D})} \ni -e^{-2i\beta}$ . Put  $\varepsilon := |2 + e^{2i\beta}| - 1 > 0$ . Noting that

$$\limsup_{\mathbb{D} \ni z \to -e^{-2i\beta}} (1 - |z|^2) |T_{f_\beta}(z)| = 2,$$

we can take the constant r > 0 such that  $(1 - |z|^2)|T_{f_\beta}(z)| < 2 + \varepsilon$  on  $\mathcal{N} := \{z \in \mathbb{D}; |z + e^{-2i\beta}| < r\}$ . We note that  $2 + \varepsilon < 2|2 + e^{2i\beta}| = ||T_{f_\beta}||_1$ . Next put  $\mathcal{M} := \omega^{-1}(\mathcal{N})$ . From (9) in Lemma 2.2, we obtain the following:

$$\sup_{z \in \mathcal{M}} (1 - |z|^2) |T_f(z)| \le \sup_{z \in \mathcal{N}} (1 - |z|^2) |T_{f_\beta}(z)| \le 2 + \varepsilon < ||T_{f_\beta}||_1.$$

On the other hand, since  $\overline{\omega(\mathbb{D} \setminus \mathcal{M})} \not\supseteq -e^{-2i\beta}$ , we have

$$\sup_{z \in \mathbb{D} \setminus \mathcal{M}} (1 - |z|^2) |T_f(z)| < ||T_{f_\beta}||_1.$$

Combining both estimates, we can conclude  $||T_f||_1 < ||T_{f_\beta}||_1$ .

Now the proof of Theorem 1 is completed.

# 3 Proof of Theorem 2

From (5), it follows that

$$S_{f_{\beta}} = (T_{f_{\beta}})' - \frac{1}{2} (T_{f_{\beta}})^2$$
  
=  $-c \frac{e^{2i\beta} \{e^{2i\beta} (e^{2i\beta} - 1)z^2 + 4(e^{2i\beta} - 1)z + 6\}}{2(1-z)^2(1+ze^{2i\beta})^2}.$ 

So we also have

$$(1-|z|^2)^2|S_{f_\beta}(z)| = |c|\frac{(1-|z|^2)^2|e^{2i\beta}(e^{2i\beta}-1)z^2+4(e^{2i\beta}-1)z+6|}{2|1-z|^2|1+ze^{2i\beta}|^2}.$$

It follows easily that  $(1 - |z|^2)^2 |S_{f_\beta}(z)| \to 6$  as  $z \to -e^{-2i\beta}$  radially. By the Kraus-Nehari theorem,  $\sup_{z \in \mathbb{D}} |S_{f_\beta}(z)| (1 - |z|^2)^2 \leq 6$ . Therefore we obtain  $||S_{f_\beta}||_2 = 6$  for any  $|\beta| < \pi/2$ .

# 4 Order estimate of the coefficients

Knowing the norm  $||T_f||_1$  enables us to estimate the growth of coefficients of f (cf. [8]). However the sharp estimate of coefficients of  $f \in SP(\beta)$  has been already obtained by Zamorski [13] in 1960. We would like to remark that we can derive the sharp growth estimate of coefficients of  $f \in SP(\beta)$  from this.

**Theorem 4.1 (Zamorski).** If  $f(z) = z + a_2 z^2 + a_3 z^3 + \cdots$  is in  $SP(\beta)$  and  $|\beta| < \pi/2$ , then

$$|a_n| \le \prod_{k=1}^{n-1} \left| 1 + \frac{e^{2i\beta}}{k} \right|$$
 (14)

for any  $n \ge 2$ . The equality in (14) holds for some  $n \ge 2$  if and only if f is a rotation of the  $\beta$ -spiral Koebe function  $f_{\beta}$ .

*Remark.* This is also shown in terms of generalized spiral-like functions by C. Burniak, J. Stankiewicz and Z. Stankiewicz [6](1980).

**Corollary 4.1.** Let  $|\beta| < \pi/2$  and  $f(z) = z + a_2 z^2 + a_3 z^3 + \cdots$  be a  $\beta$ -spirallike function. Then it holds that

$$a_n = O(n^{\cos 2\beta}) \quad (n \to +\infty). \tag{15}$$

This order estimate is sharp.

*Proof.* From the inequality (14), we have that for  $|\beta| < \pi/2$ ,

$$\log |a_n| \le \frac{1}{2} \sum_{k=1}^{n-1} \log \left( 1 + \frac{2\cos 2\beta}{k} + \frac{1}{k^2} \right)$$
$$= \frac{1}{2} \sum_{k=1}^{n-1} \left( \frac{2\cos 2\beta}{k} \right) + O(1)$$
$$= \cos 2\beta \log n + O(1)$$

as  $n \to +\infty$ . Therefore we obtain the estimate (15).

*Remark.* In the case  $|\beta| < \pi/4$ , this is shown by Basgöze and Keogh in [5](1970).

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