

# ON CONFORMAL REPRESENTATIONS OF THE INTERIOR OF AN ELLIPSE

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ABSTRACT. We consider the conformal mappings  $f$  and  $g$  of the unit disk onto the inside of an ellipse with foci at  $\pm 1$  so that  $f(0) = 0, f'(0) > 0, g(0) = -1$  and  $g'(0) > 0$ . The main purpose of this article is to show positivity of the Taylor coefficients of  $f$  and  $g$  about the origin. To this end, we use a special relation between  $f$  and  $g$  and the fact that  $f$  satisfies a second-order linear ODE. Some applications are given to the class of  $k$ -uniformly convex functions.

## 1. INTRODUCTION

If a univalent function  $f(z) = a_0 + a_1z + a_2z^2 + \dots$  in the unit disk  $\mathbb{D} = \{z \in \mathbb{C}; |z| < 1\}$  has non-negative Taylor coefficients about the origin, various sharp estimates can be easily deduced. For example, one can show the sharp inequalities

$$(1.1) \quad |f(z) - a_0 - a_1z - \dots - a_kz^k| \leq f(|z|) - a_0 - a_1|z| - \dots - a_k|z|^k, \quad |z| < 1,$$

and

$$(1.2) \quad |f^{(k)}(z)| \leq f^{(k)}(|z|), \quad |z| < 1,$$

for  $k = 0, 1, 2, \dots$ .

As one immediately sees, necessary conditions for a univalent function  $f$  to have non-negative Taylor coefficients about the origin are that  $f(0) \geq 0, f'(0) > 0$  and that the image domain  $\Omega = f(\mathbb{D})$  is symmetric in the real axis. Note that these conditions imply that all coefficients are real. It is also necessary that the farthest point of  $\partial\Omega$  from  $f(0)$  is the right-most point in  $\overline{\Omega} \cap \mathbb{R}$ . However, under these assumptions, it seems to be difficult to give a sufficient geometric condition for that. For instance, convexity of  $\Omega$  is not sufficient. In fact, for constants  $0 < c < 1$  and  $N < \alpha < N + 1$  with  $c\alpha \leq 1$  and  $N$  being a positive integer, the function

$$f(z) = (1 + cz)^\alpha = \sum_{n=0}^{\infty} \binom{\alpha}{n} (cz)^n$$

is univalent in  $\mathbb{D}$  and has convex image because

$$\operatorname{Re} \left( 1 + \frac{zf''(z)}{f'(z)} \right) = 1 + (\alpha - 1) \operatorname{Re} \frac{cz}{1 + cz} > 1 - (\alpha - 1) \frac{c}{1 - c} \geq 0.$$

Since

$$f^{(k)}(z) = c^k \alpha(\alpha - 1) \cdots (\alpha - k + 1)(1 + cz)^{\alpha - k},$$

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we observe that (1.2) is fulfilled by  $k = 0, 1, \dots, N$  but not by  $k = N + 1$ . Note that one can deduce (1.1) for  $k$  from (1.2) for  $k + 1$  by repeated integrations.

In this paper, we show non-negativity of the Taylor coefficients of specific conformal mappings of the unit disk onto an ellipse. Let  $E_\xi$  be the ellipse given by

$$\left(\frac{u}{\cosh \xi}\right)^2 + \left(\frac{v}{\sinh \xi}\right)^2 = 1$$

and let  $D_\xi$  be the interior of  $E_\xi$  for  $\xi > 0$ . Note that  $E_\xi$  has foci at 1 and  $-1$  and that an arbitrary ellipse is similar to  $E_\xi$  for some  $\xi$ . We prove the following two results.

**Theorem 1.1.** *Let  $f_\xi$  be the conformal mapping of the unit disk onto the ellipse  $E_\xi$  determined by  $f_\xi(0) = 0$  and  $f'_\xi(0) > 0$ . Then  $f_\xi$  has positive odd Taylor coefficients about the origin.*

**Theorem 1.2.** *Let  $g_\xi$  be the conformal mapping of the unit disk onto the ellipse  $E_\xi$  determined by  $g_\xi(0) = -1$  and  $g'_\xi(0) > 0$ . Then  $g_\xi$  has positive Taylor coefficients about the origin except for the first one.*

Note that  $f_\xi$  is necessarily an odd function and thus its even Taylor coefficients vanish. An explicit form of  $f_\xi$  was first given by Schwarz as early as in 1869 and is well known nowadays. It is, however, less aware of that  $f_\xi$  satisfies a second-order homogeneous linear ordinary differential equation (see Section 3). Using this ODE, we obtain linear recurrence relations between three successive odd coefficients of  $f_\xi$ . It is still difficult to show positivity of the coefficients. The final stroke will be made by a theory of continued fractions, which will be presented in Section 4.

A key ingredient of the proof of Theorem 1.2 is an unexpected relation between  $f_\xi$  and  $g_{2\xi}$ , which will be explained in Section 2.

We apply Theorem 1.2 to the study of  $k$ -uniformly convex functions introduced by the first-named author and Wiśniowska [7]. See Section 5 for details.

## 2. CONFORMAL REPRESENTATION OF THE INTERIOR OF AN ELLIPSE

We begin with introduction of special functions involving elliptic integrals. Let  $\mathbf{K}(z, t)$  and  $\mathbf{K}(t)$  be the normal and complete elliptic integrals of the first kind, respectively, i.e.,

$$\mathbf{K}(z, t) = \int_0^z \frac{dx}{\sqrt{(1-x^2)(1-t^2x^2)}}$$

and  $\mathbf{K}(t) = \mathbf{K}(1, t)$  for  $0 < t < 1$ . Note that Jacobi's elliptic function  $\operatorname{sn}(\cdot, t)$  is defined as the inverse function of  $\mathbf{K}(\cdot, t)$  with  $\operatorname{sn}'(0, t) = 1$ , where our notation  $\mathbf{K}(z, t)$  and  $\operatorname{sn}(w, t)$  may not agree with traditional one. It is well known that  $\mathbf{K}(\cdot, t)$  maps the upper half plane conformally onto the rectangle with vertices at  $\pm\mathbf{K}(t)$  and  $\pm\mathbf{K}(t) + i\mathbf{K}(t')$ , where  $t' = \sqrt{1-t^2}$  (see, for instance, [9, Chap. VI, §3]). Since the interval  $[-1, 1]$  is mapped to the interval  $[-\mathbf{K}(t), \mathbf{K}(t)]$ , the function  $\mathbf{K}(\cdot, t)$  can be continued analytically to the slit domain  $\mathbb{C} \setminus ((-\infty, -1] \cup [1, +\infty))$  by the Schwarz reflection principle. In what follows, the function  $\mathbf{K}(\cdot, t)$  will be understood in this way.

The quantity

$$\mu(t) = \frac{\pi}{2} \cdot \frac{\mathbf{K}(t')}{\mathbf{K}(t)}, \quad t' = \sqrt{1-t^2},$$

is known as the modulus of the Grötzsch ring  $\mathbb{D} \setminus [0, t]$  for  $0 < t < 1$ . Note that  $\mu(t)$  decreases from  $+\infty$  to 0 when  $t$  moves from 0 to 1. For details, see [3].

We are now in a position to present an explicit expression of the function  $f_\xi$ . Choose a number  $s \in (0, 1)$  so that  $\mu(s) = 2\xi$ . Then the formula

$$(2.1) \quad f_\xi(z) = \sin \left[ \frac{\pi}{2\mathbf{K}(s)} \mathbf{K}(z/\sqrt{s}, s) \right]$$

can be deduced. Note that the inverse function is given by  $z = \sqrt{s} \operatorname{sn}((2\mathbf{K}(s)/\pi) \arcsin w, s)$  as is shown by [9, p. 296, (51)]<sup>1</sup> (see also [11]).

Let us give an outline of the proof of (2.1) for the reader's convenience. Recall that the function  $\mathbf{K}(z, s)$  maps the upper half-plane conformally onto the rectangle  $\{u + iv : -\mathbf{K}(s) < u < \mathbf{K}(s), 0 < v < \mathbf{K}(s')\}$ , where  $s' = \sqrt{1 - s^2}$ . Since

$$\mathbf{K}(1/(s\bar{z}), s) = \overline{\mathbf{K}(z, s)} + i\mathbf{K}(s')$$

holds, the upper half of the disk  $|z| < 1/\sqrt{s}$  is mapped conformally onto the rectangle  $\{u + iv : -\mathbf{K}(s) < u < \mathbf{K}(s), 0 < v < \mathbf{K}(s')/2\}$ . Therefore, the function  $(\pi/(2\mathbf{K}(s))\mathbf{K}(z/\sqrt{s}, s))$  maps the upper half of the unit disk onto the rectangle  $R = \{u + iv : -\pi/2 < u < \pi/2, 0 < v < \xi\}$ . On the other hand, since

$$(2.2) \quad \sin(x + iy) = \sin x \cosh y + i \cos x \sinh y,$$

the function  $\sin z$  maps  $R$  onto the upper half of  $D_\xi$ . In this way, we see that the function  $\sin((\pi/(2\mathbf{K}(s))\mathbf{K}(z/\sqrt{s}, s))$  maps the upper half of the unit disk onto the upper half of  $D_\xi$ . By the Schwarz reflection principle, we obtain the expression in (2.1).

Since  $f_\xi(-\sqrt{s}) = -1$ , the function  $g_\xi$  can be expressed by

$$g_\xi(z) = f_\xi \left( \frac{z - \sqrt{s}}{1 - \sqrt{s}z} \right).$$

This formula is, however, not convenient to compute the Taylor coefficients of  $g_\xi$  about the origin. This is a motivation of deduction of the following formula.

**Theorem 2.1.** *For  $\xi > 0$ , the relation  $g_{2\xi}(z) = 2f_\xi(\sqrt{z})^2 - 1$  holds for  $|z| < 1$ .*

Note that Theorem 1.2 immediately follows from Theorem 1.1 by means of this identity. These representations also give explicit values of the hyperbolic density of the domain  $D_\xi$ . Recall that the hyperbolic density  $\rho_D$  of a simply connected domain  $D$  with  $\#(\mathbb{C} \setminus D) \geq 2$  is defined by  $\rho_D(w_0) = 1/|f'(0)|$  for a conformal mapping  $f$  of the unit disk  $\mathbb{D}$  onto  $D$  with  $f(0) = w_0$ .

**Corollary 2.2.** *Let  $\xi = \mu(s)/2$  for  $s \in (0, 1)$ . Then*

$$\rho_{D_\xi}(0) = \frac{2\sqrt{s}}{\pi} \mathbf{K}(s) \quad \text{and} \quad \rho_{D_{2\xi}}(-1) = \rho_{D_{2\xi}}(1) = \frac{2s}{\pi^2} \mathbf{K}(s)^2.$$

*Proof.* Since  $f'_\xi(0) = \pi/(2\sqrt{s}\mathbf{K}(s))$  and  $g'_{2\xi}(0) = 2f'_\xi(0)^2$  we obtain the required relations.  $\square$

<sup>1</sup>We remark that there is a confusion in p. 296 of Nehari's book. Since  $c = \pi K'/(2K)$  in his notation, the norm  $q = e^{-K'/K}$  should be given by  $e^{-2c/\pi}$  instead of  $e^{-2c}$ .

In order to prove Theorem 2.1, we recall some facts about Chebyshev polynomials. We first consider the conformal mapping  $J$  of  $\mathbb{D}$  onto  $\widehat{\mathbb{C}} \setminus [-1, 1]$  defined by  $J(z) = (z + z^{-1})/2$ . Since

$$J(e^{-\xi+i\eta}) = \cosh \xi \cos \eta - i \sinh \xi \sin \eta,$$

the circle  $|z| = e^{-\xi}$  is mapped by  $J$  onto the ellipse  $E_\xi$  for  $\xi > 0$  and the radial segment  $(0, e^{i\eta})$  is mapped by  $J$  into the branch  $H_\eta$  of a hyperbola given by

$$(2.3) \quad \left( \frac{u}{\cos \eta} \right)^2 - \left( \frac{v}{\sin \eta} \right)^2 = 1, \quad u \cos \eta > 0,$$

for  $\eta \in \mathbb{R}$  with  $(2/\pi)\eta \notin \mathbb{Z}$ . Note that these conic sections have the common foci at  $-1$  and  $1$ .

Let  $T_n$  be the Chebyshev polynomial of degree  $n$ , i.e.,  $T_n(\cos \theta) = \cos(n\theta)$ . Then it is well known that the  $n$ -fold mapping  $z \mapsto z^n$  is conjugate to  $T_n$  under  $J$ , in other words,

$$J(z^n) = T_n(J(z))$$

holds for  $|z| < 1$ . In particular, one can see that the ellipse  $E_\xi$  is mapped by  $T_n$  onto  $E_{n\xi}$  in an  $n$ -to-one fashion and that the branch  $H_\eta$  of a hyperbola is mapped by  $T_n$  bijectively to  $H_{n\eta}$ .

Applying the above argument to  $T_2(w) = 2w^2 - 1$ , we obtain the following. We recall that  $D_\xi$  is the interior of the ellipse  $E_\xi$ .

**Lemma 2.3.** *The Chebyshev polynomial  $T_2(w) = 2w^2 - 1$  maps  $D_\xi$  onto  $D_{2\xi}$ . Also,  $T_2$  maps the domain bounded by  $H_\eta$  and  $H_{\pi-\eta}$  onto the connected component of  $\mathbb{C} \setminus H_{2\eta}$  containing  $-1$ . Both are two-sheeted branched covering projections.*

On the basis of the above lemma, we can prove Theorem 2.1.

*Proof of Theorem 2.1.* By Lemma 2.3, the composed function  $T_2 \circ f_\xi$  is a two-sheeted covering projection of  $\mathbb{D}$  onto  $D_{2\xi}$  which sends the origin to the focus  $-1$  of  $E_{2\xi}$ . Since  $T_2 \circ f_\xi$  is even, the function  $g(z) = (T_2 \circ f_\xi)(\sqrt{z})$  is single-valued and analytic in  $\mathbb{D}$ . By construction,  $g$  is conformal and satisfies  $g'(0) > 0$ , and therefore,  $g = g_{2\xi}$ . Thus the theorem has been proved.  $\square$

The same reasoning yields a relation between conformal mappings onto domains bounded by hyperbolas. Let  $F_\eta$  be the conformal mapping of  $\mathbb{D}$  onto the domain bounded by  $H_\eta$  and  $H_{\pi-\eta}$  which are given by (2.3) with  $F_\eta(0) = 0$  and  $F'_\eta(0) > 0$  for  $0 < \eta < \pi/2$ . We also let  $G_\eta$  be the conformal mapping of  $\mathbb{D}$  onto the left component of  $\mathbb{C} \setminus H_\eta$  with  $G_\eta(0) = -1$ ,  $G'_\eta(0) > 0$  for  $0 < \eta < \pi$ ,  $\eta \neq \pi/2$ . We define  $G_{\pi/2}$  as the limit of  $G_\eta$  as  $\eta \rightarrow \pi/2$ , that is,  $G_{\pi/2}(z) = (z-1)/(z+1)$ . Then we obtain the following.

**Proposition 2.4.** *Let  $\eta \in (0, \pi/2)$ . Then  $G_{2\eta}(z) = 2F_\eta(\sqrt{z})^2 - 1$  for  $|z| < 1$ .*

In view of (2.2), we see that the function  $\sin z$  maps the parallel strip  $|\operatorname{Re} z| < a$  conformally onto the domain  $\{u + iv : (u/\sin a)^2 - (v/\cos a)^2 < 1\}$  for  $0 < a < \pi/2$ . Noting that the function  $\arctan z$  maps the unit disk onto the strip  $|\operatorname{Re} w| < \pi/2$ , we have the expression

$$(2.4) \quad F_\eta(z) = \sin \left( \left( 2 - \frac{4\eta}{\pi} \right) \arctan z \right)$$

for  $0 < \eta < \pi/2$ .

3. A LINEAR ODE SATISFIED BY THE CONFORMAL REPRESENTATION  $f_\xi$ 

It is a noteworthy fact that the conformal representations  $f_\xi$  and  $F_\eta$ , which are given in (2.1) and (2.4) respectively, satisfy simple second-order linear ordinary differential equations (ODE).

Indeed, if we write  $b = 2 - 4\eta/\pi$ , we have  $F'_\eta(z) = b(1 + z^2)^{-1} \cos(b \arctan z)$ . Differentiating the both sides of  $(1 + z^2)F'_\eta(z) = b \cos(b \arctan z)$ , we see that  $w = F_\eta$  satisfies the differential equation

$$(1 + z^2)^2 w'' + 2z(1 + z^2)w' + b^2 w = 0.$$

Similarly, one can check that the function  $w = f_\xi(z)$  satisfies the differential equation

$$(3.1) \quad (1 - 2Mz^2 + z^4)w'' - 2z(M - z^2)w' + cw = 0$$

in  $\mathbb{D}$ , where  $M = (s + s^{-1})/2$ ,  $c = \pi^2/(4s\mathbf{K}(s)^2)$  and  $s \in (0, 1)$  is chosen so that  $\mu(s) = 2\xi$ .

Let  $w = f(z)$  be the solution to the differential equation (3.1) with the initial conditions  $f(0) = 0$  and  $f'(0) = 1$ . Note that  $f_\xi$  can be written in the form  $f_\xi = f'_\xi(0)f$ , and hence, positivity of the Taylor coefficients of  $f_\xi$  is equivalent to that of  $f$ .

By the form of the equation, it is clear that  $f(z)$  is odd, namely,  $f(z) = -f(-z)$ . Therefore,  $f(z)$  has the Taylor expansion of the form

$$f(z) = \sum_{n=0}^{\infty} A_n z^{2n+1},$$

with  $A_0 = 1$ . Substituting the above expansion to the equation (3.1), we obtain the following recurrence relations for the coefficients  $A_n$ :

$$(3.2) \quad (2n + 2)(2n + 3)A_{n+1} - \{2M(2n + 1)^2 - c\}A_n + 2n(2n - 1)A_{n-1} = 0$$

for  $n \geq 0$ , here we have set  $A_{-1} = 0$ .

Since the image  $f(\mathbb{D})$  is an ellipse and, in particular, convex,  $|A_n| \leq 1$  holds for every  $n \geq 1$  (see [4]). The symmetric property  $\overline{f(\bar{z})} = f(z)$  implies that all the coefficients  $A_n$  are real. However, we have no *a priori* information about the sign of  $A_n$ .

We take a closer look at the recurrence formula (3.2). We now transform the sequence by

$$B_n = (2n + 1)A_n.$$

Then  $B_{-1} = 0$ ,  $B_0 = 1$  and the relation (3.2) turns to

$$(3.3) \quad (n + 1)B_{n+1} - \left\{ M(2n + 1) - \frac{c}{2(2n + 1)} \right\} B_n + nB_{n-1} = 0$$

for  $n \geq 0$ . We further set

$$E_n = \frac{B_n}{B_{n-1}}$$

for  $n \geq 0$ . Here, we adopt the convention  $E_n = \infty$  when  $B_{n-1}$  happens to be zero. Thus, for instance,  $E_0 = \infty$ . By dividing both sides of (3.3) by  $(n + 1)B_n$ , we obtain

$$E_{n+1} = \frac{M(2n + 1)}{n + 1} - \frac{c}{(2n + 1)(2n + 2)} - \frac{n}{n + 1} \cdot \frac{1}{E_n}.$$

By letting

$$(3.4) \quad p_n = \frac{n}{n + 1}, \quad q_n = \frac{M(2n - 1)}{n} - \frac{c}{2n(2n - 1)},$$

the last relation can be rewritten in the form

$$(3.5) \quad E_{n+1} = q_{n+1} - \frac{p_n}{E_n}, \quad n = 0, 1, 2, \dots$$

We recall that the constants  $M$  and  $c$  are given by

$$M = \frac{1}{2} \left( s + \frac{1}{s} \right) \quad \text{and} \quad c = \frac{\pi^2}{4s\mathbf{K}(s)^2}.$$

For a later use, we give estimates of  $c$ . We remark that  $c = f'_\xi(0)^2 = 1/\rho_{D_\xi}(0)^2$  (cf. Corollary 2.2).

**Lemma 3.1.** *The quantity  $c = \pi^2/(4s\mathbf{K}(s)^2)$  satisfies the double inequality*

$$\frac{1}{s} - s \leq c \leq \frac{1}{s} - \frac{s}{2}.$$

*Proof.* It is easily checked that the required inequality is equivalent to

$$(3.6) \quad \frac{\pi}{2\sqrt{1-s^2/2}} \leq \mathbf{K}(s) \leq \frac{\pi}{2\sqrt{1-s^2}}$$

for  $0 < s < 1$ . First, using the inequality  $\sqrt{1-s^2x^2} \geq \sqrt{1-s^2}$  for  $0 < x < 1$ , we obtain

$$\mathbf{K}(s) = \int_0^1 \frac{dx}{\sqrt{(1-x^2)(1-s^2x^2)}} \leq \frac{1}{\sqrt{1-s^2}} \int_0^1 \frac{dx}{\sqrt{1-x^2}} = \frac{\pi}{2\sqrt{1-s^2}}.$$

To show the other part, we need some technique. We first express  $\mathbf{K}(s)$  in the form

$$\mathbf{K}(s) = \int_0^{\pi/2} \frac{d\theta}{\sqrt{1-s^2\sin^2\theta}} = \int_0^{\pi/4} \left( \frac{1}{\sqrt{1-s^2\sin^2\theta}} + \frac{1}{\sqrt{1-s^2\cos^2\theta}} \right) d\theta.$$

Note here the inequality

$$(1-s^2\sin^2\theta)(1-s^2\cos^2\theta) = 1-s^2 + \frac{s^4}{4}\sin^2(2\theta) \leq \left(1 - \frac{s^2}{2}\right)^2.$$

We now use the inequality  $1/X + 1/Y \geq 2/\sqrt{XY}$  for  $X, Y > 0$  to deduce

$$\mathbf{K}(s) \geq \int_0^{\pi/4} \frac{2}{\sqrt[4]{(1-s^2\sin^2\theta)(1-s^2\cos^2\theta)}} d\theta \geq \frac{\pi}{2\sqrt{1-s^2/2}}.$$

□

**Remark.** Matti Vuorinen told us that the inequalities

$$\frac{2}{1 + \sqrt{1-s^2}} \leq \frac{2}{\pi}\mathbf{K}(s) \leq \frac{1}{\sqrt[4]{1-s^2}},$$

which are better than (3.6), are known (see [3, 4.6 (3)]). See also [2, §7.4] for a different kind of inequalities and related references. The authors thank him for the above information.

Let us explain difficulty of the recurrence relations (3.5). First note that  $p_n \rightarrow 1$  and  $q_n \rightarrow s + s^{-1}$  as  $n \rightarrow \infty$ . Thus, one can think that the dynamical system  $E_{n+1} = q_{n+1} - p_{n+1}/E_n$  converges to the autonomous system  $E_{n+1}^\circ = s + s^{-1} - 1/E_n^\circ$  as  $n \rightarrow \infty$ . As is easily observed, the linear fractional transformation  $f(x) = s + s^{-1} - x^{-1}$  has the

attracting fixed point  $x = s^{-1}$  and the repelling fixed point  $x = s$ . On the other hand, in reality, a numerical computation suggests that  $E_n \rightarrow s$  as  $n \rightarrow \infty$ . Therefore, usual methods of approximation and even induction arguments seem to fail to show positivity of  $E_n$ . Therefore, we have to take a different approach.

By (3.5), we can express  $E_n$  in terms of a continued fraction:

$$E_n = q_n - \frac{p_{n-1}}{q_{n-1} - \frac{p_{n-2}}{\dots - \frac{p_1}{q_1}}} = q_n - \frac{p_{n-1}}{q_{n-1} - \frac{p_{n-2}}{q_{n-2} - \dots - \frac{p_1}{q_1}}}.$$

We define the double sequence  $q_{m,n}$  for  $1 \leq m \leq n$  by induction of  $n - m$ . Fix a positive integer  $n$ . First we set

$$q_{n,n} = q_n.$$

Suppose that  $q_{n,n}, q_{n-1,n}, \dots, q_{m+1,n}$  have already been defined for  $1 \leq m < n$ . Then, we set

$$(3.7) \quad q_{m,n} = q_m - \frac{p_m}{q_{m+1,n}}.$$

In this way, we can define  $q_{n,n}, \dots, q_{1,n}$ . Then we can restate positivity of  $E_n$  in terms of  $q_{m,n}$ .

**Lemma 3.2.** *Let  $n$  be a positive integer. Then  $E_m > 0$  holds for each  $m$  with  $1 \leq m \leq n$  if and only if  $q_{m,n} > 0$  for each  $m$  with  $1 \leq m \leq n$ .*

*Proof.* We first assume that  $q_{m,n} > 0$  for all  $1 \leq m \leq n$ . Then  $q_{1,n} > 0$  implies

$$E_1 = q_1 > \frac{p_1}{q_{2,n}}.$$

In particular,  $E_1 > 0$  (though this is implied by Lemma 3.1). Since  $q_{2,n} > 0$  by assumption, we obtain

$$q_{2,n} = q_2 - \frac{p_2}{q_{3,n}} > \frac{p_1}{E_1},$$

which is equivalent to

$$E_2 = q_2 - \frac{p_1}{E_1} > \frac{p_2}{q_{3,n}}.$$

In particular, we observe  $E_2 > 0$ . We now use  $q_{3,n} > 0$  to see

$$q_{3,n} = q_3 - \frac{p_3}{q_{4,n}} > \frac{p_2}{E_2}.$$

We repeat this procedure to get finally

$$q_{n,n} = q_n > \frac{p_{n-1}}{E_{n-1}},$$

which yields

$$E_n = q_n - \frac{p_{n-1}}{E_{n-1}} > 0.$$

The converse can be seen by tracing back the above. □

At this stage, we collect some elementary properties of  $q_{m,n}$ . When we regard  $q_{m,n}$  as a function of  $s$  in  $(0, 1)$ , we sometimes write  $q_{m,n}(s)$  to indicate the argument  $s$ . We also

write  $q_{m,n}(1) = \lim_{s \rightarrow 1} q_{m,n}(s)$  if the limit exists. In particular, we have  $q_m(1) = q_{m,m}(1) = 2 - 1/m$  because  $c = c(s) \rightarrow 0$  when  $s \rightarrow 1$ . We first prepare the following lemma.

**Lemma 3.3.** *Let  $m$  be a positive integer. The function  $q_m(s)$  is positive in  $0 < s < 1$  and the inequality  $q_m(s) \geq q_m(1)$  holds for  $0 < s \leq (2m - 2)/(2m - 1)$ .*

**Remark.** If the inequality  $q_m(s) \geq q_m(1)$  held for all  $0 < s < 1$ , the proof of positivity of  $E_n$  would be simpler. Unfortunately, this is not the case.

*Proof.* By Lemma 3.1, we have  $c < s^{-1} - s/2$ . Thus, we obtain

$$q_m \geq q_1 = \frac{s + s^{-1}}{2} - \frac{c}{2} > \frac{3s}{4} > 0.$$

It is easily verified that the condition  $q_m(s) \geq q_m(1) = 2 - 1/m$  is equivalent to  $(2/\pi)(1 - s)\mathbf{K}(s) \geq 1/(2m - 1)$ . Since  $\mathbf{K}(s) \geq \pi/2$ , the condition  $s \leq 1 - 1/(2m - 1)$  is enough to ensure the inequality  $q_m(s) \geq q_m(1)$ .  $\square$

The following result is readily shown by (reverse) induction on  $m$ .

**Lemma 3.4.** *Let  $a_1, \dots, a_n; x_1, \dots, x_n$  and  $x'_1, \dots, x'_n$  be positive numbers with  $x_m \leq x'_m$  for  $m = 1, \dots, n$ . Define  $y_m$  by reverse induction:  $y_n = x_n$  and  $y_m = x_m - a_{m+1}/y_{m+1}$  for  $m < n$ . Similarly, set  $y'_n = x'_n$  and  $y'_m = x'_m - a_{m+1}/y'_{m+1}$  for  $m < n$ . Further suppose that  $y_m > 0$  for all  $1 \leq m \leq n$ . Then  $y_m \leq y'_m$  and, in particular,  $y'_m > 0$  for all  $m$ .*

With the aid of the above lemma, we can now show the following.

**Lemma 3.5.** *Let  $m$  and  $n$  be integers with  $2 \leq m \leq n$ . Then the quantity  $q_{m,n} = q_{m,n}(s)$  is positive for  $0 < s \leq (2m - 2)/(2m - 1)$ .*

*Proof.* We first show that  $q_{m,n}(1) > 0$  for  $1 \leq m \leq n$ . In view of Lemma 3.2, it is enough to see that  $E_n(1)$  is positive for each  $n \geq 1$ . The solution  $w = f(z)$  with  $f(0) = 0$ ,  $f'(0) = 1$  to the equation (3.1) corresponding to the case when  $s = 1$  is nothing but the function  $\operatorname{arctanh} z$ . Clearly, this has positive odd Taylor coefficients about the origin, and therefore, the inequality  $E_n(1) > 0$  follows. Lemmas 3.3 and 3.4 now yield the inequality  $q_{m,n}(s) \geq q_{m,n}(1) > 0$  for  $0 < s \leq (2m - 2)/(2m - 1)$  and for  $2 \leq m \leq n$ .  $\square$

The next simple fact will be a key to the proof of Theorem 1.1.

**Lemma 3.6.** *Let  $n$  and  $n_0$  be integers with  $2 \leq n_0 \leq n$ . Suppose that  $q_{m,n} > 0$  holds for every  $m$  with  $n_0 \leq m \leq n$ . Then  $q_{m,n-1} > q_{m,n}$  holds for every  $m$  with  $n_0 - 1 \leq m \leq n - 1$ .*

*Proof.* We shall show  $q_{m,n-1} > q_{m,n}$  by reverse induction on  $m$ . For  $m = n - 1$ , the inequality holds because  $q_{n-1,n} = q_{n-1,n-1} - p_{n-1}/q_n$ . We now assume that  $q_{m,n-1} > q_{m,n}$  holds for some  $m$  with  $n_0 \leq m \leq n - 1$ . Note now that  $q_{m,n} > 0$  by assumption. Since

$$q_{m-1,n-1} - q_{m-1,n} = -\frac{p_{m-1}}{q_{m,n-1}} + \frac{p_{m-1}}{q_{m,n}} = \frac{p_{m-1}(q_{m,n-1} - q_{m,n})}{q_{m,n-1}q_{m,n}},$$

we obtain  $q_{m-1,n-1} > q_{m-1,n}$ . This procedure can be continued up to  $m - 1 = n_0 - 1$ .  $\square$

At this stage, we can show that  $\lim_{n \rightarrow \infty} q_{m,n}$  always exists.

**Proposition 3.7.** *For each  $m \geq 1$ , the sequence  $q_{m,n}$  has a limit in  $\widehat{\mathbb{C}}$  when  $n \rightarrow \infty$ .*



*Proof.* Since  $q_{m,n}$  and  $q_{m+1,n}$  are related by a Möbius transformation described in (3.7), if  $q_{m,n}$  has a limit for *some*  $m$  then  $q_{m,n}$  does for *all*  $m$ . By Lemma 3.5, for a fixed  $s$ , there exists an integer  $N$  such that  $q_{m,n} > 0$  whenever  $N \leq m \leq n$ . Lemma 3.6 now implies that  $q_{m-1,n}$  is monotone decreasing with respect to  $n$ . In particular,  $q_{m-1,n}$  has a limit as  $n \rightarrow \infty$  for  $m \geq N - 1$ .  $\square$

We denote by  $q_{m,\infty}$  the limit of  $q_{m,n}$  as  $n \rightarrow \infty$ . In order to find a value of  $q_{m,\infty}$ , we employ the general theory of continued fractions, which will be explained in the next section.

#### 4. A CONTINUED FRACTION APPROACH

In order to apply the general theory of continued fractions to our problem, we recall some notions and results in the theory based on the work of L. Jacobsen (Lorentzen) and W. J. Thron [5].

Let  $\{T_n\}$  be a sequence of Möbius maps. The sequence is said to be *restrained* if there exist sequences  $\{u_n\}$  and  $\{v_n\}$  of points in the Riemann sphere  $\widehat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$  such that

$$\liminf_{n \rightarrow \infty} d(u_n, v_n) > 0$$

and that

$$\lim_{n \rightarrow \infty} d(T_n(u_n), T_n(v_n)) = 0,$$

where  $d(z, w)$  denotes the chordal distance between  $z$  and  $w$ , namely,  $d(z, w) = |z - w| / \sqrt{(1 + |z|^2)(1 + |w|^2)}$ . Note that the asymptotic behaviour of  $\{T_n(u_n)\}$  is unique in the sense that  $d(T_n(u_n), T_n(u'_n)) \rightarrow 0$  for any other pair of sequences  $\{u'_n\}$  and  $\{v'_n\}$  satisfying  $\liminf d(u'_n, v'_n) > 0$  and  $\lim d(T_n(u'_n), T_n(v'_n)) = 0$  (see [5, Theorem 2.1]). Sometimes we say that  $\{T_n\}$  is restrained with  $\{u_n\}$  when we want to indicate the associated sequence. A sequence  $\{w_n\}$  is said to be *exceptional* with respect to the restrained sequence  $\{T_n\}$  with  $\{u_n\}$  if

$$\limsup_{n \rightarrow \infty} d(T_n(w_n), T_n(u_n)) > 0.$$

Among several interesting results in [5], the following will be made of use in the present paper.

**Lemma 4.1** (Proposition 2.4 in [5]). *Let  $\{T_n\}$  be a sequence of Möbius maps which is restrained with  $\{u_n\}$ . Suppose that  $\liminf_{n \rightarrow \infty} d(T_n(u_n), \infty) > 0$ . Then for an exceptional sequence  $\{w_n\}$*

$$\liminf_{n \rightarrow \infty} d(w_n, T_n^{-1}(\infty)) = 0.$$

We now return to our problem. Let

$$R_m(z) = q_m - \frac{p_m}{z}, \quad S_m = R_1 \circ \cdots \circ R_m, \quad \text{and} \quad T_m = S_m^{-1}$$

for  $m \geq 1$ , where  $p_m$  and  $q_m$  are given by (3.4). Then, by definition,

$$q_{m,n} = (R_m \circ \cdots \circ R_n)(\infty).$$

In particular,

$$(4.1) \quad q_{1,n} = S_n(\infty) = S_{n+1}(0).$$

Also, by noting the relation  $R_m^{-1}(w) = p_m/(q_m - w)$ , we observe

$$(4.2) \quad T_n(0) = \frac{p_n}{E_n}.$$

We now claim that our  $\{T_n\}$  is restrained. More concretely, we show the following.

**Lemma 4.2.** *For a fixed  $s \in (0, 1)$ , there exists a non-empty open interval  $I = I(s)$  in  $\mathbb{R}$  such that  $T_n(x) \rightarrow s$  for every  $x \in I$ .*

*Proof.* Since  $q_n \rightarrow s + s^{-1} (> 2)$  as  $n \rightarrow \infty$ , one can take an integer  $N$  so that  $q_n > 2$  for all  $n \geq N$ . In the following, we consider only integers  $n$  with  $n \geq N$ . Let  $\alpha_n = 1/\sqrt{p_n} = \sqrt{(n+1)/n}$  and choose  $t_n \in (0, 1)$  so that  $\alpha_n q_n = t_n + 1/t_n$ , namely,

$$(4.3) \quad t_n = \frac{\alpha_n q_n - \sqrt{(\alpha_n q_n)^2 - 4}}{2}.$$

We now investigate the behaviour of  $t_n$  as  $n \rightarrow \infty$ . First we see that  $\alpha_{n+1} q_{n+1} > \alpha_n q_n$  and hence  $t_{n+1} < t_n$ . Indeed,

$$\begin{aligned} & \alpha_{n+1} q_{n+1} - \alpha_n q_n \\ &= \left( \alpha_{n+1} \cdot \frac{2n+1}{2n+2} - \alpha_n \cdot \frac{2n-1}{2n} \right) \left( s + \frac{1}{s} \right) - \left\{ \frac{\alpha_{n+1}}{(2n+2)(2n+1)} - \frac{\alpha_n}{2n(2n-1)} \right\} c \\ &= \frac{s + s^{-1}}{2n(n+1)} \left( \alpha_n - \frac{2}{\alpha_n + \alpha_{n+1}} \right) + \frac{c((2n^2 - n)(\alpha_n - \alpha_{n+1}) + (4n+1)\alpha_n)}{2n(n+1)(4n^2 - 1)} \end{aligned}$$

is positive because  $\alpha_n$  is decreasing. In particular, we have  $t_n \leq \tau$  for  $n \geq N$ , where we set  $\tau = t_N$ . It is obvious that  $t_n \rightarrow s$  as  $n \rightarrow \infty$ . Furthermore, in view of the above computation, since  $\alpha_n = 1 + 1/(2n) + O(n^{-2})$ , it also follows that  $\alpha_{n+1} q_{n+1} - \alpha_n q_n = O(n^{-3})$  as  $n \rightarrow \infty$ . By (4.3), we also obtain  $t_{n+1} - t_n = O(n^{-3})$ .

It is easy to check that  $s_n = t_n/\alpha_n$  is a fixed point of the map  $U_n = R_n^{-1}$ . Furthermore,

$$U_n(x) - U_n(s_n) = \frac{p_n(x - s_n)}{(q_n - x)(q_n - s_n)} = \frac{s_n}{q_n - x}(x - s_n).$$

When  $s_n < x < 1/\alpha_n$ , one has  $s_n/(q_n - x) = t_n/(\alpha_n q_n - \alpha_n x) \leq \tau/(2-1) = \tau$ , and therefore

$$(4.4) \quad 0 < U_n(x) - U_n(s_n) \leq \tau(x - s_n).$$

For  $x_N \in \mathbb{R}$ , we set  $x_n = (U_n \circ \dots \circ U_{N+1})(x_N)$  for  $n > N$ . We also write  $x_n = s_n + \delta_n$ . Then, by (4.4), if  $s_n < x_{n-1} < 1/\alpha_n$  we have

$$0 < \delta_n = x_n - s_n = U_n(x_{n-1}) - U_n(s_n) \leq \tau(x_{n-1} - s_n) = \tau(\delta_{n-1} + s_{n-1} - s_n).$$

Since  $t_n - t_{n-1} = O(n^{-3})$  and  $\alpha_n = 1 + 1/(2n) + O(n^{-2})$  as we saw above,

$$(4.5) \quad s_{n-1} - s_n = \left( \frac{1}{\alpha_{n-1}} - \frac{1}{\alpha_n} \right) t_{n-1} + \frac{t_{n-1} - t_n}{\alpha_n} = \frac{s}{2n^2} + o(n^{-2})$$

as  $n \rightarrow \infty$ . In particular, there exists a positive constant  $K$  such that  $s_{n-1} - s_n < K/n^2$  for all  $n \geq N$ . Therefore, we have got the estimate

$$(4.6) \quad 0 < \delta_n \leq \tau(\delta_{n-1} + Kn^{-2})$$

whenever  $s_n < x_{n-1} < 1/\alpha_n$ .

Recall the asymptotic expansion (4.5) and the fact that  $t_n$  decreases to  $s$  as  $n \rightarrow \infty$ . Therefore, by replacing  $N$  by a larger one if necessary, we can assume that

$$s_n < s_{n-1} \text{ for } n \geq N, \quad t_N \left( \frac{N}{N-1} + \frac{K}{N} \right) \leq 1 \quad \text{and} \quad \frac{1}{N} < \frac{1}{\alpha_N} - s_N.$$

We now claim that

$$(4.7) \quad 0 < \delta_n \leq 1/n$$

for  $n \geq N$  if we choose  $x_N$  so that  $s_N < x_N < s_N + 1/N$ . Indeed, (4.7) is trivially true for  $n = N$ . If we assume that (4.7) is true for  $n-1$  ( $\geq N$ ), then  $s_{n-1} < x_{n-1} < s_{n-1} + 1/(n-1)$ . Since  $s_n < s_{n-1}$  and  $s_{n-1} + 1/(n-1) \leq s_N + 1/N < 1/\alpha_N < 1/\alpha_n$ , the double inequality  $s_n < x_{n-1} < 1/\alpha_n$  is satisfied. Now (4.6) yields

$$0 < \delta_n \leq \tau \left( \frac{1}{n-1} + \frac{K}{n^2} \right) = \frac{1}{n} \left( \frac{n}{n-1} \tau + \frac{\tau K}{n} \right) \leq \frac{\tau}{n} \left( \frac{N}{N-1} + \frac{K}{N} \right).$$

Here we recall that  $\tau = t_N$ . By the choice of  $N$ , we see that  $0 < \delta_n \leq 1/n$ . Thus the claim has been proved by induction.

From (4.7), it follows that  $x_n \rightarrow s$  as  $n \rightarrow \infty$ . Therefore, the interval  $I = S_N((s_N, s_N + 1/N))$  works for the assertion.  $\square$

If we choose a pair of distinct points  $x_0$  and  $x'_0$  from the interval  $I$  in the last lemma, then  $d(T_n(x_0), T_n(x'_0)) \rightarrow d(s, s) = 0$  as  $n \rightarrow \infty$ . In particular,  $\{T_n\}$  is a restrained sequence with constant sequence  $\{x_0\}$ . With the aid of this fact, we can now show the following.

**Lemma 4.3.**  $q_{1,\infty} = 0$ .

*Proof.* Fix a number  $x_0 \in I$ . Then  $T_n(x_0) \rightarrow s$  as  $n \rightarrow \infty$ . In particular,  $d(T_n(x_0), \infty) \rightarrow d(s, \infty) > 0$ . Next we observe the relation  $T_n^{-1}(\infty) = S_n(\infty) = q_{1,n}$  by (4.1). Suppose now that the constant sequence  $\{0\}$  was not exceptional with respect to  $\{T_n\}$ . That would mean  $T_n(0) \rightarrow s$  when  $n \rightarrow \infty$ . Now we recall the relation (4.2). Since  $E_n = B_n/B_{n-1}$  and  $B_n = (2n+1)A_n$ , we would have

$$\lim_{n \rightarrow \infty} \frac{A_n}{A_{n-1}} = \lim_{n \rightarrow \infty} \frac{B_n}{p_n B_{n-1}} = \lim_{n \rightarrow \infty} \frac{1}{T_n(0)} = \frac{1}{s} > 1,$$

which would violate the boundedness of the sequence  $\{A_n\}$ . Thus we have concluded that the constant sequence  $\{0\}$  is exceptional with respect to  $\{T_n\}$ . Lemma 4.1 now yields

$$\liminf_{n \rightarrow \infty} d(0, q_{1,n}) = \liminf_{n \rightarrow \infty} d(0, T_n^{-1}(\infty)) = 0,$$

which implies that 0 is a limit point of the convergent sequence  $\{q_{1,n}\}$  (see Proposition 3.7). Thus  $q_{1,\infty} = \lim_{n \rightarrow \infty} q_{1,n}$  must be 0.  $\square$

*Proof of Theorem 1.1.* We now show the inequality  $q_{m,n} > 0$  for all  $m \leq n$ . This implies  $E_n > 0$  for all  $n \geq 1$  by Lemma 3.2, and thus Theorem 1.1 follows.

For each  $s \in (0, 1)$ , by Lemma 3.5, we see that there exists an integer  $N \geq 0$  such that  $q_{m,n} > 0$  for any pair of integers  $m, n$  with  $N \leq m \leq n$ . We denote by  $N(s)$  the minimum of such numbers  $N$  for  $s \in (0, 1)$ . Lemma 3.5 implies also that  $N(s) \leq m$  for  $s \in (0, (2m-2)/(2m-1)]$ . In particular,  $N(s) \leq 2$  for  $s \in (0, 2/3]$ . If  $N(s) \leq 2$ , then

Lemma 3.6 gives us the information  $q_{1,n} > q_{1,n+1}$  for  $n \geq 1$ . Since  $q_{1,n} \rightarrow 0$  by Lemma 4.3, we now conclude that  $q_{1,n} > 0$  for  $n \geq 1$ . Thus  $N(s)$  must be 1 in this case. In particular,  $N(s) = 1$  for  $s \in (0, 2/3]$ .

Suppose that  $N(s) > 2$  for some  $s$ . Let  $s_0$  be the infimum of the set  $\{s \in (0, 1) : N(s) > 2\}$ . As we observed above,  $N(s) = 1$  for  $s < s_0$ , namely,  $q_{m,n}(s) > 0$  for all  $1 \leq m \leq n$ , and thus  $0 < E_n(s) < +\infty$ ,  $n \geq 1$ , for  $s < s_0$ .

Since  $N(s)$  is locally bounded in  $0 < s < 1$ , there exist an integer  $N > 2$  and a decreasing sequence  $\{s_k\}$  such that  $N(s_k) = N$  and  $s_k \rightarrow s_0$  as  $k \rightarrow \infty$ . Since  $q_{N,n}(s_k) > 0$  for  $n \geq N$ , Lemma 3.6 yields  $q_{N-1,n-1}(s_k) > q_{N-1,n}(s_k)$  for  $n \geq N$ . In particular, we obtain  $q_{N-1,n-1}(s_k) > q_{N-1,\infty}(s_k)$  for  $n \geq N$ . By minimality of  $N(s_k)$ , we see that  $-\infty \leq q_{N-1,\infty}(s_k) < 0$ . On the other hand,  $q_{N-1,\infty}(s) \geq 0$  for  $s < s_0$ . By continuity, we obtain  $q_{N-1,\infty}(s_0) = \infty$  or 0. Since

$$S_{N-2}(q_{N-1,\infty}) = S_{N-2}(\lim_{n \rightarrow \infty} q_{N-1,n}) = \lim_{n \rightarrow \infty} S_{N-2}(q_{N-1,n}) = \lim_{n \rightarrow \infty} q_{1,n} = 0,$$

we see that  $q_{N-1,\infty} = T_{N-2}(0) = p_{N-2}/E_{N-2}$ . Thus,  $E_{N-2}(s_0) = 0$  or  $E_{N-2}(s_0) = \infty$ .

Suppose first that  $E_{N-2}(s_0) = 0$ . Now  $E_{N-2}(s)$  approaches 0 from the right as  $s \rightarrow s_0^-$ . On the other hand,  $E_{N-1}(s) > 0$  implies

$$q_{N-1}(s) > \frac{p_{N-1}}{E_{N-2}(s)}$$

for  $s < s_0$ . Therefore, as  $s \rightarrow s_0^-$  the right-hand side goes to  $\infty$ , which forces  $q_{N-1}(s_0)$  to be infinity. Thus we have reached a contradiction.

We next suppose that  $E_{N-2}(s_0) = \infty$ . In this case,  $E_{N-3}(s_1) = 0$  by (3.5). Then the same argument as above leads to a contradiction.

At any event, we get a contradiction. Thus the possibility that  $N(s) > 2$  for some  $0 < s < 1$  has been ruled out. Therefore  $N(s) = 1$ , namely,  $q_{m,n}(s) > 0$  for  $1 \leq m \leq n$ .  $\square$

Numerical experiments suggest the following conjectures, which seem to be difficult to prove by simple induction arguments. Recall that  $E_n = E_n(s)$  is defined as  $(2n+1)A_n/(2n-1)A_{n-1}$  in Section 3.

- Conjecture 1.** (i)  $E_n(s)$  is increasing in  $0 < s < 1$  for each  $n \geq 1$ .  
(ii)  $E_n(s)$  monotonically increases to  $s$  as  $n \rightarrow \infty$ .  
(iii)  $E_n(s)/s$  increases from  $(2n+1)/(2n+2)$  to 1 when  $s$  moves from 0 to 1.

Repeated use of (iii) would yield the inequality

$$\frac{(2n-1)!!}{(2n)!!} \cdot \frac{s^n}{n+1} \leq A_n(s) \leq \frac{s^n}{2n+1}$$

for each  $n \geq 1$  and for each  $s \in (0, 1)$ . Note that Stirling's formula implies

$$\frac{(2n-1)!!}{(2n)!!} = \frac{(2n)!}{2^{2n}(n!)^2} \sim \frac{1}{\sqrt{\pi n}}$$

as  $n \rightarrow \infty$ .

5. APPLICATIONS TO  $k$ -UNIFORMLY CONVEX FUNCTIONS

We consider the domain

$$(5.1) \quad \Omega_k = \{u + iv \in \mathbb{C}; u^2 > k^2(u - 1)^2 + k^2v^2, u > 0\}.$$

for  $k \in [0, \infty)$ . Note that  $1 \in \Omega_k$  for all  $k$ .  $\Omega_0$  is nothing but the right half-plane. When  $0 < k < 1$ , the domain  $\Omega_k$  is the unbounded domain enclosed by the right half of the hyperbola

$$\left(\frac{(1 - k^2)u + k^2}{k}\right)^2 - \left(\frac{(1 - k^2)v}{\sqrt{1 - k^2}}\right)^2 = 1$$

with foci at 1 and  $-(1+k^2)/(1-k^2)$ . When  $k = 1$ , the domain  $\Omega_1$  becomes the unbounded domain enclosed by the parabola

$$v^2 = 2u - 1$$

with focus at 1. When  $k > 1$ , the domain  $\Omega_k$  is the interior of the ellipse

$$\left(\frac{(k^2 - 1)u - k^2}{k}\right)^2 + \left(\frac{(k^2 - 1)v}{\sqrt{k^2 - 1}}\right)^2 = 1$$

with foci at 1 and  $(k^2 + 1)/(k^2 - 1)$ . For every  $k$ , the domain  $\Omega_k$  is convex and symmetric in the real axis. Note also that  $\Omega_{k_1} \supset \Omega_{k_2}$  if  $0 \leq k_1 \leq k_2$  and that  $\Omega_k$  converges to  $\Omega_{k_0}$  in the sense of Carathéodory when  $k \rightarrow k_0$ .

An analytic function  $f$  in the unit disk  $\mathbb{D}$  normalized by  $f(0) = 0$  and  $f'(0) = 1$  is called  $k$ -uniformly convex if  $1 + zf''(z)/f'(z) \in \Omega_k$  for  $z \in \mathbb{D}$ . This concept was introduced and studied by the first author and Wiśniowska [7], [6]. Clearly, 0-uniformly convex functions are exactly same as convex functions. Moreover, uniformly convex functions introduced by Goodman are characterized as 1-uniformly convex functions (see [8] and [10]).

Let  $P_k$  be the conformal mapping of  $\mathbb{D}$  onto  $\Omega_k$  determined by the conditions  $P_k(0) = 1$  and  $P'_k(0) > 0$ . They gave a concrete expression for  $P_k$ .

**Theorem A** (Kanas-Wiśniowska [7]). *The conformal map  $P_k : \mathbb{D} \rightarrow \Omega_k$  with  $P_k(0) = 1$  and  $P'_k(0) > 0$  is given by*

$$P_k(z) = \begin{cases} (1+z)/(1-z) & \text{if } k = 0, \\ (1 - k^2)^{-1} \cosh[C_k \log(1 + \sqrt{z})/(1 - \sqrt{z})] - k^2/(1 - k^2) & \text{if } 0 < k < 1, \\ 1 + (2/\pi^2)[\log(1 + \sqrt{z})/(1 - \sqrt{z})]^2 & \text{if } k = 1, \\ (k^2 - 1)^{-1} \sin[C_k \mathbf{K}((z/\sqrt{t} - 1)/(1 - \sqrt{tz}), t)] + k^2/(k^2 - 1) & \text{if } 1 < k, \end{cases}$$

where  $C_k = (2/\pi) \arccos k$  for  $0 < k < 1$  and  $C_k = \pi/(2\mathbf{K}(t))$  and  $t \in (0, 1)$  is chosen so that  $k = \cosh(\mu(t)/2)$  for  $k > 1$ .

We could use Theorem 2.1 to obtain another expression which is more convenient to compute the Taylor expansion about the origin.

**Theorem 5.1.** *For  $k > 0$ , the conformal map  $P_k$  of the unit disk onto the domain  $\Omega_k$  with  $P_k(0) = 1$  and  $P'_k(0) > 0$  is expressed as*

$$(5.2) \quad P_k(z) = 1 + Q_k(\sqrt{z})^2,$$

where

$$Q_k(z) = \begin{cases} \sqrt{\frac{2}{1-k^2}} \sinh(C_k \operatorname{arctanh} z) & \text{if } 0 < k < 1, \\ \sqrt{\frac{1}{2\pi^2}} \operatorname{arctanh} z & \text{if } k = 1, \\ \sqrt{\frac{2}{k^2-1}} \sin(C'_k \mathbf{K}(z/\sqrt{s}, s)) & \text{if } 1 < k. \end{cases}$$

Here,  $C_k = (2/\pi) \arccos k$  when  $0 < k < 1$ , and  $C'_k = \pi/(2\mathbf{K}(s))$  when  $k > 1$ , where  $s \in (0, 1)$  is chosen so that  $k = \cosh \mu(s)$ .

Furthermore, the function  $Q_k$  is odd and maps the unit disk conformally onto the domain  $W_k = \{u + iv : (k-1)u^2 + (k+1)v^2 < 1\}$ .

It is easily checked that  $W_k$  is the inside of a hyperbola when  $k < 1$  and  $W_k$  is the interior of an ellipse when  $k > 1$ . When  $k = 1$ , the domain  $W_k$  becomes the parallel strip  $-1/\sqrt{2} < \operatorname{Im} w < 1/\sqrt{2}$ . Note that  $W_k$  is invariant under the involution  $w \mapsto -w$ . The relation between  $t$  and  $s$  in Theorems A and 5.1 is given by  $s = 2\sqrt{t}/(1+t)$  (see [9, p. 293, (43)]).

The reader might expect that the functions  $P_k$  could be expressed in a unified way for all  $0 < k < \infty$  by introducing another kind of special functions. It is, however, hopeless to do that because we discarded the left-half of the ‘‘interior’’ of the hyperbola when  $k$  became less than 1 (see also that forms of the corresponding differential equations are different). Though we can prove Theorem 5.1 by using Theorem A, we give an independent proof for completeness.

*Proof of Theorem 5.1.* First let  $k > 1$ . Choose  $\xi > 0$  so that  $\cosh(2\xi) = k$ , namely,  $k = \cosh(\mu(s))$ . Since the similarity  $L(z) = (z + k^2)/(k^2 - 1) = 1 + (z + 1)/(k^2 - 1)$  maps  $-1$  to  $1$  and  $1$  to  $(k^2 + 1)/(k^2 - 1)$ , respectively, the image  $L(D_{2\xi})$  coincides with  $\Omega_k$ . Thus  $P_k = L \circ g_{2\xi} = 1 + (g_{2\xi} + 1)/(k^2 - 1)$ . By Theorem 1.2, we obtain the relation  $P_k(z) = 1 + 2f_\xi(\sqrt{z})^2/(k^2 - 1)$ . Hence, we conclude that  $P_k(z) = 1 + Q_k(\sqrt{z})^2$ .

The case when  $0 < k < 1$  can be treated in the same line as above. Indeed, take a number  $\eta \in (0, \pi/4)$  so that  $k = \cos 2\eta$  and let  $L(z) = (z + k^2)/(k^2 - 1)$ . Then the similarity  $L$  maps the left component of  $\mathbb{C} \setminus H_{\pi-2\eta}$  onto  $\Omega_k$ . Noting  $L'(-1) < 0$ , we obtain the relation  $P_k(z) = L(G_{\pi-2\eta}(-z))$  for  $z \in \mathbb{D}$ . Proposition 2.4 and formula (2.4) now yield

$$\begin{aligned} P_k(z) &= L(2F_{\pi/2-\eta}(i\sqrt{z})^2 - 1) \\ &= L(2 \sin((4\eta/\pi) \arctan(i\sqrt{z}))^2 - 1) \\ &= L(-2 \sinh((4\eta/\pi) \operatorname{arctanh}(\sqrt{z}))^2 - 1) \\ &= 1 + Q_k(\sqrt{z})^2. \end{aligned}$$

When  $k = 1$ , the expression is obtained as the limiting case when  $k \rightarrow 1$ . □

**Theorem 5.2.** *The conformal representation  $P_k$  of  $\Omega_k$  with  $P_k(0) = 1$ ,  $P'_k(0) > 0$  has positive Taylor coefficients about the origin for each  $k \geq 0$ .*

*Proof.* The assertion for  $0 \leq k \leq 1$  can be deduced by Theorem 5.1 because  $\sinh z$  and  $\operatorname{arctanh} z$  both have positive odd Taylor coefficients about the origin. The assertion for  $k > 1$  follows from Theorem 1.2 since  $P_k = 1 + (g_{2\xi} + 1)/(k^2 - 1)$  as is observed in the proof of Theorem 5.1. □

We remark that for  $0 < k < 1$ , the function  $w = Q_k(z)$  satisfies the linear ODE

$$(5.3) \quad (1 - z^2)^2 w'' - 2z(1 - z^2)w' - C_k^2 w = 0$$

in  $\mathbb{D}$ . By using this, one can also show positivity of the Taylor coefficients of  $P_k$  about the origin. Note that this differential equation is a special case of the Legendre equation (see, for example, [1, Chap. 8]).

An analytic function  $p$  in the unit disk is called a Carathéodory function if  $p(0) = 1$  and if  $p$  has positive real part, in other words,  $\operatorname{Re} p(z) > 0$  holds in  $|z| < 1$ . The class of Carathéodory functions will be denoted by  $\mathcal{P}$ .

For two analytic functions  $f$  and  $g$  in the unit disk  $\mathbb{D}$ , we say that  $f$  is subordinate to  $g$  and denote it by  $f \prec g$  if there exists an analytic map  $\omega : \mathbb{D} \rightarrow \mathbb{D}$  such that  $f = g \circ \omega$  and  $\omega(0) = 0$ . For each Carathéodory function  $q$ , we define the subclass

$$\mathcal{P}(q) = \{p \in \mathcal{P} : p \prec q\}$$

of  $\mathcal{P}$ . For instance, a normalized analytic function  $f$  in the unit disk is  $k$ -uniformly convex if and only if the function  $1 + zf''(z)/f'(z)$  belongs to  $\mathcal{P}(P_k)$ .

If  $q$  has some nice properties, then elements of  $\mathcal{P}(q)$  are dominated by  $q$  in various ways.

**Proposition 5.3.** *Suppose that a Carathéodory function  $q$  is convex univalent and has non-negative Taylor coefficients about the origin. Then each element  $p$  of  $\mathcal{P}(q)$  satisfies*

$$q(-|z|) \leq \operatorname{Re} p(z) \leq |p(z)| \leq q(|z|)$$

in  $|z| < 1$ .

*Proof.* We write  $p_r(z) = p(rz)$  for  $0 < r < 1$ . The Lindelöf principle says that  $p \prec q$  implies  $p_r \prec q_r$  for all  $0 < r < 1$ . Therefore, for a fixed  $r \in [0, 1)$ , we obtain

$$\min_{|z|=r} \operatorname{Re} q(z) \leq \operatorname{Re} p(z_0) \leq |p(z_0)| \leq \max_{|z|=r} |q(z)|$$

for any  $z_0$  with  $|z_0| = r$ . Notice now that  $q$  is symmetric, i.e.,  $\overline{q(z)} = q(\bar{z})$ , because  $q$  has non-negative (thus real) coefficients. Since  $q_r$  is convex (see the proof of Theorem 2.1 in [4]) and symmetric and  $\operatorname{Re} q_r > 0$ , the relation

$$\min_{|z|=r} \operatorname{Re} q(z) = q(-r)$$

can be deduced. On the other hand, the non-negativity of the coefficients means that  $q$  can be expressed in the form

$$q(z) = 1 + \sum_{n=1}^{\infty} a_n z^n, \quad |z| < 1,$$

for some  $a_n \geq 0$ . Therefore,

$$|q(z)| \leq 1 + \sum_{n=1}^{\infty} a_n |z|^n = q(|z|),$$

and thus,

$$\max_{|z|=r} |q(z)| = q(r)$$

follows. □

This, together with Theorem 5.2, implies the following.

**Corollary 5.4.** *Let  $0 \leq k < \infty$  and  $p \in \mathcal{P}(P_k)$ . Then the inequalities*

$$\operatorname{Re} p(z) \leq |p(z)| \leq \begin{cases} 1 + \frac{2}{1-k^2} \sinh^2 \left( \frac{2 \operatorname{arctanh} \sqrt{r}}{\pi \arccos k} \right) & \text{for } k \in [0, 1), \\ 1 + \frac{8}{\pi^2} \operatorname{arctanh}^2 \sqrt{r} & \text{for } k = 1, \\ 1 + \frac{2}{k^2-1} \sin^2 \left( \frac{\pi}{2\mathbf{K}(t)} \mathbf{K}(\sqrt{r/t}, t) \right) & \text{for } k > 1, \end{cases}$$

and

$$|p(z)| \geq \operatorname{Re} p(z) \geq \begin{cases} 1 - \frac{2}{1-k^2} \sin^2 \left( \frac{2 \operatorname{arctan} \sqrt{r}}{\pi \arccos k} \right) & \text{for } k \in [0, 1), \\ 1 - \frac{8}{\pi^2} \operatorname{arctan}^2 \sqrt{r} & \text{for } k = 1, \\ 1 - \frac{2}{k^2-1} \sinh^2 \left( \frac{\pi}{2\tilde{\mathbf{K}}(t)} \tilde{\mathbf{K}}(\sqrt{r/t}, t) \right) & \text{for } k > 1, \end{cases}$$

hold for  $z \in \mathbb{D}$  with  $|z| = r$ . In particular, the inequalities

$$\frac{k}{k+1} < \operatorname{Re} p(z) \leq |p(z)|$$

hold for all  $|z| < 1$  and all  $k > 0$  and  $|p(z)| < k/(k-1)$  holds for all  $|z| < 1$  and  $k > 1$ .

In the above, we set

$$\tilde{\mathbf{K}}(r, t) = -i\mathbf{K}(ir, t) = \int_0^r \frac{dx}{\sqrt{(1+x^2)(1+t^2x^2)}}.$$

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